

**BROMSGROVE DISTRICT COUNCIL**

**AUDIT BOARD**

**15<sup>th</sup> DECEMBER 2008**

**CORPORATE RISK REGISTER**

Responsible Portfolio Holder	Councillor Geoff Denaro
Responsible Head of Service	Head of Financial Services

**1. Summary**

1.1 To present the Corporate Risk Register to Members for consideration and report on the actions/improvements to quarter 2 ( April – September 2008).

**2. Recommendation**

2.1 The Audit Board is recommended to note the Corporate risk register objectives

2.2 The Audit Board is recommended to note progress to date against the actions for the 2<sup>nd</sup> quarter

**3. Background**

3.1 The Council has adopted a robust framework for risk management since 2006. This is to ensure that the Council has effective controls in place to mitigate and manage risk across the organisation and to demonstrate this within the Use of Resources framework.

3.2 The framework implemented by the Council involves departmental and corporate risk registers being developed to detail;

- Key Objectives;
- Risk Score;
- Current controls;
- Actions and improvements;
- Responsible officers and target dates for each action and improvement; and
- Progress against each action and improvement.

3.3 The Corporate Risk register is considered and updated at Corporate management team to ensure that risks are being managed and to address any new risks that have been identified.

3.4 The objectives and key controls included in the Corporate Register for 2008/09 is attached at Appendix 1.

- 3.5 The departmental registers are reviewed on a quarterly basis. This meeting is considering the updates for the Corporate register for the first 2 quarters 2008/09.
- 3.6 In addition to the review of the register there is a planned programme of risk management training that supports the development of the risk culture through the organisation.

#### **4. Completed Actions / Achievements**

- 4.1 Key improvements delivered during the period April – September in relation to the Corporate Risk register include:
- Development of a Use of Resources action plan to deliver improvements to the scoring in 2008 against this framework
  - Joint Cabinet and Senior management team away days to develop relationships and identify improvements
  - Updated Council Plan approved to include a focus on more streamlined priorities
  - Review of the member development training programme
  - Set up of a Business continuity working group
  - Monthly performance reporting established for each department
  - Completion of impact assessments to deliver improvements in equality and diversity
  - Quarterly financial and performance reports presented to Members
  - Programme Management Board established to review major projects delivery plans on a regular basis

#### **5. Actions delayed**

- 5.1 There are a number of actions/improvements that have been delayed which have been reported to Corporate Management Team and form part of the programme management board reviews. These include:
- Implementation of Job Evaluation – as Members are aware the delays in relation to Job Evaluation are as a result of the union concerns regarding the Bainbridge judgment. Currently officers are undertaking a 90 day consultation period in the hope to achieve a collective agreement for implementation.
  - Town Centre development – due to the economic downturn there has been limited initial interest in the redevelopment of the market hall. The recent report proposed entering into a review of contractual arrangements for redevelopment of the site.

#### **6. Actions to be undertaken**

- 6.1 The period October – March includes a number of actions that will continue to demonstrate that the Council is manages the risks associated with the delivery of its objectives. Future actions include:
- Full implementation of the Purchase Order Processing system
  - Development of Business Continuity Plan
  - Development of Corporate ICT Strategy

- Further develop methods for collection and analysis of demographic data
- Develop data sharing and corporate information policies

6.2 The Corporate register will be reviewed by this Board on a quarterly basis to ensure officers are delivering the actions to achieve the objectives identified and manage risks associated with their delivery.

## **7. Overall Summary**

7.1 The Corporate risk register for 2009/10 will be developed during January – March 2009 and be reported to the Audit Board in the first quarter 2009/10. The achievement of savings in relation to joint/ shared working together with further development of the town centre and addressing issues arising from the economy will be included in the revised register.

## **8. Financial Implications**

8.1 None outside of existing budgets. The continued development of the risk management culture within the Council will aim to achieve improved assessment under the Use of Resources scoring.

## **9 Legal Implications**

9.1 None except specific legislation associated with any of the risk registers key objectives.

## **10 Corporate Objectives**

10.1 Council Objective 02: Improvement.

## **11 Risk Management**

11.1 Developing and maintaining the corporate risk register will assist the Council to achieve its objectives, priorities, vision and values. The development and continual review of the register will also support the Councils achievement of the Use of Resources framework.

## **12 Customer Implications**

12.1 In addressing the risks associated with the delivery of the Councils services the customers will receive a consistent and controlled quality of service provision.

## **13 Equalities and Diversity Implications**

13.1 The specific issue of improving equality and diversity is included within the Legal, Equalities and Democratic Services departmental register.

**14 Other Implications**

Procurement Issues: None
Personnel Implications: None
Governance / Performance Management: Effective governance process.
Community Safety including Section 17 of Crime and Disorder Act 1998: None
Policy: None
Environmental: None

**15 Others Consulted on the Report**

Portfolio Holder	Yes
Chief Executive	Yes
Executive Director (Services)	No
Assistant Chief Executive	No
Head of Service	Yes
Head of Financial Services	Yes
Head of Legal, Equalities & Democratic Services	yes
Head of HR & Organisational Development	Yes
Corporate Procurement Team	NA

**16 Appendices**

Appendix 1 – Corporate Risk Register

**17 Background Papers**

None

**Contact officer**

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